



UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
BRIAN LUDLUM, On Behalf of Himself And  
All Others Similarly Situated,

Plaintiff(s),

Index No. 08 CV 6868

-against-

AFFIDAVIT OF SERVICE

UBS AG, PETER KURER, STEPHAN  
HAERINGER, SERGIO MARCHIONNE,  
ERNESTO BERTARELLI, GABRIELLE  
KAUFMANN-KOHLER, ROLF A. MEYER,  
HELMUT PANKE, DAVID SIDWELL, PETER  
SPUHLER, PETER VOSER, LAWRENCE A.  
WEINBACH, JOERG WOLLE, PETER A.  
WUFFLI, CLIVE STANDISH, DAVID S.  
MARTIN, EDWARD O'DOWD, BARBARA  
AMONE, PER DYRVIK, THE RETIREMENT  
BOARD AND SAVINGS PLAN  
COMMITTEE, and JOHN DOE 1-10,

Defendants.

-----X  
STATE OF NEW YORK )  
S.S.  
COUNTY OF NEW YORK)

HECTOR FIGUEROA , being duly sworn, deposes and says that he is over the age  
of eighteen years, is employed by the attorney service, DLS, INC., and is not a party to this  
action.

That on the 14<sup>th</sup> day of August, 2008, at approximately the time of 1:00pm,  
deponent served a true copy of the SUMMONS IN A CIVIL ACTION AND CLASS ACTION  
COMPLAINT FOR VIOLATIONS OF THE EMPLOYEE RETIREMENT INCOME  
SECURITY ACT ("ERISA") upon STEPHAN HAERINGER at 299 Park Avenue, New York,  
NY by personally delivering and leaving the same with the Contract Attorney, NELSON DE  
LA CRUZ, a person of suitable age and discretion at that address, the actual place of business.  
At the time of service, deponent asked if Stephan Haeringer is in active military service for the



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United States of America or for the State in any capacity whatever or dependent upon a person in active military service and received a negative reply.

Nelson De La Cruz is a tan male (Hispanic), approximately 35 years of age, stands approximately 5 feet 10 inches tall, weighs approximately 230 pounds with dark hair and brown eyes.

HECTOR FIGUEROA #870141

Sworn to before me this  
20<sup>th</sup> day of August, 2008

NOTARY PUBLIC

JONATHAN T. RIPPS  
NOTARY PUBLIC - STATE OF NEW YORK  
NO. 01RI6109718  
QUALIFIED IN NEW YORK COUNTY  
COMMISSION EXPIRES MAY 17, 2012